PTO-1390 (Rev. 02-2005)
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TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER

| | GNATED/ELECTED NING A SUBMISSIO | U.S. APPLICATION NO. (HEROWAY See 375 CFR 1.5) | | | | | | | | |
|---|---|--|---------------------------------------|--|--|--|--|--|--|--|
| CONCERNING A SUBMISSION UNDER 35 U.S.C INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING | | | PRIORITY DATE CLAIMED | | | | | | | |
| PCT/EP2003/014613 | | 19 DECEMBER 2003 | 20 DECEMBER 2002 | | | | | | | |
| TITLE OF INVENTION | | | | | | | | | | |
| AVERMECTIN B1 AND AVERMECTIN B1 MONOSACCHARIDE DERIVATIVES HAVING AN ALKOXYMETHYL SUBSTITUTENT IN THE 4"-OR 4'-POSITION | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US MAIENFISCH, ET AL. | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | |
| 1. This is | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | |
| 2. This is a | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | |
| | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | |
| 4. The US | The US has been elected (Article 31). | | | | | | | | | |
| 5. 🗸 A cop | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | |
| a. L | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| b. L | b. | | | | | | | | | |
| . L | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | |
| 6. An Ei [| An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | |
| а. L | | | | | | | | | | |
| [7] | b. Light has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| /: — /\е | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | |
| ſ | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| c. (| b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | |
| d. [| | | | | | | | | | |
| 8. 🔲 An E | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | |
| 9. 🔲 An oa | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | | | | | |
| 11. 🗹 An In | formation Disclosure Statemen | t under 37 CFR 1.97 and 1.98. | | | | | | | | |
| | ssignment document for recordi | ng. A separate cover sheet in compliance wi | ith 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. 🔽 A pre | A preliminary amendment. | | | | | | | | | |
| 14. 🔲 An A | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | |
| 15. 🗀 A sut | A substitute specification. | | | | | | | | | |
| | A power of attorney and/or change of address letter. | | | | | | | | | |
| | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | | | |
| | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 20. 🗹 Other | items or information: CREDIT | CARD PAYMENT FORM | | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. | | | | | ATTORNEY'S DOCKET NUMBER | | | | |
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| 16 | 1/539 | 70203 | | | | | | | |
| | wing fees have b | CALCULATIONS | PTO USE ONLY | | | | | | |
| 21. 🕢 Basic | national fee | | | \$300 | \$ 300.00 | | | | |
| If International pre | (1)-(4) | \$ 200.00 | | | | | | | |
| 23. 🗸 Searc | | | | | | | | | |
| Search fee (37 CF | R 1.445(a)(2)) h al Searching Au ch Report prepar | \$ 400.00 | | | | | | | |
| | | \$ 900.00 | | | | | | | |
| Additional fee sequence list | for specification ing or computer 50 for each addi | V 000.00 | | | | | | | |
| Total Sheets | Extra Sheets | Number of eac | h additional 50 or fraction up to a whole number) | RATE | | | | | |
| - 100 = | /50 = | | | x \$250 | \$ 0 | | | | |
| Surcharge of \$130 claimed priority da | 0.00 for furnishing | \$ | | | | | | | |
| CLAIMS | NUME | BER FILED | NUMBER EXTRA | RATE | \$ | | | | |
| Total claims | 7 | - 20 = | 0 | x \$50 | \$ 0 | | | | |
| Independent claim | ıs ı | - 3 = | 0 | x \$200 | \$ 0 | | | | |
| MULTIPLE DEPE | NDENT CLAIM(| S) (if applicable) | | + \$360 | \$ | | | | |
| | | • | TOTAL OF ABOVE | CALCULATIONS = | \$ | | | | |
| Applicant clair | ms small entity s | tatus. See 37 CF | R 1.27. Fees above are redu | ced by 1/2. | | | | | |
| | | | | SUBTOTAL = | \$ 900.00 | | | | |
| Processing fee of claimed priority da | | \$ | | | | | | | |
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| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | | |
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